



AML Policy

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iQuoto Limited is an Appointed Representative (FRN 826970) of Kapwealth Limited a firm, authorised and regulated by the Financial Conduct Authority.

Registered Address: 26/27 Van Alloys Business Park, Stoke Row, Henley-On-Thames, RG9 5QW
Trading Address: 28th Floor, The Shard, 32 London Bridge Street, London, SE1 9SG

1. POLICY STATEMENT

iQuoto and its staff are committed to the highest standards of money laundering and terrorist financing prevention, including anti-fraud, anti-corruption and anti-bribery. We have robust and effective risk assessment and due diligence measures and controls in place to ensure compliance with the current regulations, laws and standards and ensure a continuous practice of monitoring and training for an inclusive approach. We are dedicated to the prevention of financial crime and continue to improve upon existing measures through dedicated action plan processes.

2. PURPOSE

iQuoto will do everything possible to protect its staff and clients from exposure to money laundering and terrorist financing and ensure a company-wide risk-based approach to the prevention of financial crime. We advocate continued compliance with the guidance and rules laid out in The Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017, The Proceeds of Crime Act 2002 and the Terrorism Act 2000. Any actual or suspected acts of money laundering will be reported to the NCA and where applicable to regulatory requirements, an MLRO will be appointed to oversee all aspects of the anti-money laundering functions.

3. WHAT THIS MEANS FOR YOU

We are obligated to request from you and you must provide information, including but not limited to proof of identity, proof of address and proof of your source of wealth or funds. We may subject the information you provide to international electronic verifications checks. Furthermore, we may refuse to accept you as client if we deem that there is a likelihood that a client relationship with you may expose us to any form of crime.

In the case of companies, trusts and other organisations, we require to know who the ultimate beneficiaries are and will require the same information from them as for any individual client.

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